



Dynamics of Lane Formation in Driven Binary Complex Plasmas

K. R. Sütterlin,¹ A. Wysocki,² A. V. Ivlev,¹ C. R ath,¹ H. M. Thomas,¹ M. Rubin-Zuzic,¹ W. J. Goedheer,³ V. E. Fortov,⁴
A. M. Lipaev,⁴ V. I. Molotkov,⁴ O. F. Petrov,⁴ G. E. Morfill,¹ and H. L owen²

¹Max Planck Institute for Extraterrestrial Physics, 85741 Garching, Germany

²Heinrich-Heine-Universit t D usseldorf, 40225 D usseldorf, Germany

³FOM-Institute for Plasma Physics Rijnhuizen, 3430 BE Nieuwegein, The Netherlands

⁴Joint Institute for High Temperatures, 125412 Moscow, Russia

(Received 23 December 2008; published 25 February 2009)

The dynamical onset of lane formation is studied in experiments with binary complex plasmas under microgravity conditions. Small microparticles are driven and penetrate into a cloud of big particles, revealing a strong tendency towards lane formation. The observed time-resolved lane-formation process is in good agreement with computer simulations of a binary Yukawa model with Langevin dynamics. The laning is quantified in terms of the anisotropic scaling index, leading to a universal order parameter for driven systems.

DOI: 10.1103/PhysRevLett.102.085003

PACS numbers: 52.27.Lw

The formation of lanes is a ubiquitous phenomenon occurring in nature when two species of particles are driven against each other. When the driving forces are strong enough, like-driven particles form “stream lines” and move collectively in lanes. Typically, the lanes exhibit a considerable anisotropic structural order accompanied by an enhancement of their (unidirectional) mobility. The phenomenon is most commonly known from pedestrian dynamics in highly populated pedestrian zones [1], but also occurs in different systems of driven particles, such as colloidal dispersions [2–4], lattice gases [5], and molecular ions [6]. In other words, it is a ubiquitous generic process of considerable interest in different branches of physics. It is also a genuine nonequilibrium transition [5] which depends on the details of the particle interactions and their dynamics [7].

Recently, particle laning was also observed in complex plasmas [8]. In fact, complex plasmas [9,10] occupy the important intermediate dynamical regime between undamped fluids and fully damped colloidal suspensions: the “atomistic” dynamics associated with the interparticle interaction is virtually undamped whereas the large-scale hydrodynamics is determined by friction.

In this Letter we report on comprehensive experimental studies of lane formation in complex plasmas, that were carried out under microgravity conditions with the radio frequency (rf) discharge chamber PK-3 Plus [11]. The motivation for this research is fourfold. First, we demonstrate that complex plasmas are indeed an ideal system for studying nonequilibrium phase transitions such as laning. Second, the experiments enable us to investigate the dynamical onset of lane formation in detail. Third, we achieve a quantitative understanding of the structural correlation during the onset of laning by comparison with particle-resolved Langevin simulations. Fourth, based on the anisotropic scaling index analysis of the obtained data,

we suggest a universal order parameter for nonequilibrium phase transitions in driven systems.

Experiments.—A series of dedicated experiments was carried out on the International Space Station. These involved various combinations of “big” and “small” monodisperse particles (2.55, 6.8, 9.2, and 14.9 μm diameter for big, and 1.55, 2.55, and 3.4 μm for small), with different neutral gases and pressures (argon between 10 and 60 Pa and neon at 60 Pa), and for different rf discharge powers. First a stable spheroidal cloud of big particles was produced. Second, small particles were injected into this cloud. The force field pulls the small particles through the cloud of big particles towards the center, thus making such systems perfectly suited to study lane formation.

Figure 1 shows a characteristic example of lane formation observed in experiments with 3.4 and 9.2 μm particles at pressure of 30 Pa. When a fraction of individual small particles enters the interface of the fairly homogeneous cloud formed by big particles, the subsequent penetration is accompanied by a remarkable self-organization sequence: (a) Big particles are pushed collectively by the inflowing cloud of small particles, the latter form “strings” drifting on averaging along the force field; (b) as the particles approach the center of the chamber, the field decreases and the strings organize themselves into larger “streams.” At the later stage, when the field almost vanishes, the streams merge to form a spheroidal droplet with a well-defined surface, indicating the transition to the regime when surface tension plays the primary role. We restrict ourselves to the first two stages [12]. It is noteworthy that during stage (b) big particles also form well-defined strings. Small and big particles create an “array” of interpenetrating strings. After the flux of small particles is exhausted, the big-particle strings slowly dissolve [13].

Computer simulations.—First, the distribution of the characteristic parameters of the discharge plasma, such

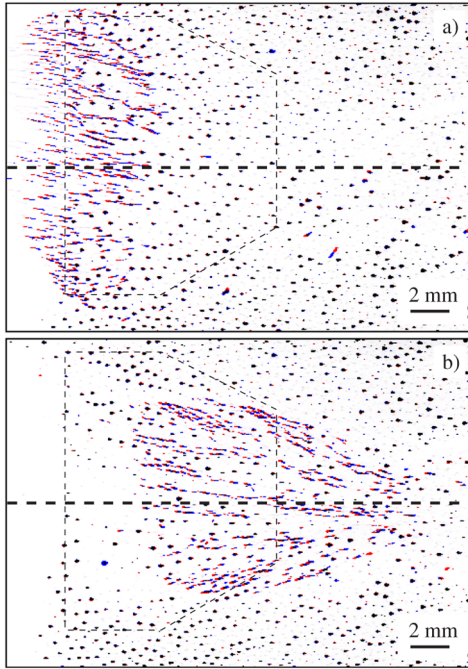


FIG. 1 (color online). Lane formation in complex plasmas. A short burst of small ($3.4 \mu\text{m}$) particles is injected into a cloud of big ($9.2 \mu\text{m}$) background particles (close to the midplane of the chamber, indicated by horizontal dashed line). Small particles are driven towards the center, stages of (a) initial lane formation and (b) merging of lanes into larger streams are shown. Particles are illuminated by a thin laser sheet of $\approx 0.35 \text{ mm}$; each figure is a superposition of two consecutive images ($1/50 \text{ s}$ apart), the time difference between them is $\approx 1.2 \text{ s}$. At the stage (b) big particles also form well-defined lanes. The frame indicates the region used for the analysis of big-particle dynamics.

as plasma density, electron temperature, and electric fields, was deduced from 2D simulations of the PK-3 Plus discharge chamber. We used a plasma fluid code (described in Ref. [14]), which provides a self-consistent coupling of dust species to the discharge plasma, including particle charging, plasma absorption, redistribution of volume charges or ambipolar fields, etc. These simulations suggest that in the midplane of the chamber the cloud of big particles is “self-confined” at its edges due to the self-consistent plasma field, as illustrated in Fig. 13 of Ref. [14], whereas inside the cloud the electric and ion drag forces practically compensate each other. On the other hand, since these two forces have different scaling on the particle size, the net force on small particles is nonzero inside the cloud. For the experiment shown in Fig. 1, the simulations yield a force of $f_s = 0.3 \pm 0.1 \text{ pN}$ pushing a small particle towards the center.

Next, particle-resolved molecular dynamics (MD) simulations were performed on the Langevin level [15] for a binary mixture of 5759 small and 12 287 big particles. The simulation box with periodic boundary conditions has dimensions of 4.4 cm in the x direction and 0.8 cm in the y and z directions. The particles interact via a Yukawa pair

potential with a screening length $\lambda = 100 \mu\text{m}$ (based on results of our plasma discharge simulations) and effective charges $Z_s = 3000e$ (based on experiment [16]) and $Z_b = 8117e$, proportional to the respective particle diameter, $\sigma_s = 3.4 \mu\text{m}$ and $\sigma_b = 9.2 \mu\text{m}$. The mass density of the particles is 1.5 g/cm^3 and the corresponding friction rates are $\nu_s = 250 \text{ s}^{-1}$ and $\nu_b = (\sigma_s/\sigma_b)\nu_s = 92.4 \text{ s}^{-1}$. The mean interparticle distances are deduced from the experiment, $\Delta_s = 464 \mu\text{m}$ (before the penetration) and $\Delta_b = 493 \mu\text{m}$, the temperature is $T = 0.024 \text{ eV}$.

Our plasma simulations show that big particles do not experience a net external force in the bulk. Therefore, in our MD simulation we confine them in the x direction by a parabolic external potential at two edges (with a width of 2.2 cm) and adjust the confinement strength, so that the measured interparticle distance Δ_b is reproduced. Similarly, a portion of small particles, separated from the big particles, was prepared (with a width of 0.7 cm). Then the constant driving force f_s was instantaneously applied, leading to penetration of small particles into the cloud of big ones. Simulation snapshots are shown in Fig. 2, revealing a qualitative agreement with the experiment [13].

Anisotropic scaling index and order parameter.—In order to identify and quantify the stringlike structures in our experimental data and the simulations, a suitable order parameter has to be employed that is sensitive to the changing particle structures. Conventional approaches, e.g., binary correlation or bond orientation functions, Legendre polynomials, etc., turned out to be too insensitive. Much more satisfactory results were obtained by implementing an *anisotropic scaling index* method—a local nonlinear measure for structure characterization. This method has already been used to characterize electrorheological complex plasmas [17], large-scale distribution of galaxies [18], or bone structure [19].

For a given set of particle positions, $\{\mathbf{r}_i\}$, $i = 1, \dots, N$, we define a local density $\rho(\mathbf{r}_i, R) = \sum_{j=1}^N s(d_{ij}/R)$, where $d_{ij} = |\mathbf{r}_i - \mathbf{r}_j|$ and s is a certain shaping function characterized by the spatial scale R . The scaling index α is the logarithmic derivative of the density with respect to the spatial scale, $\alpha = \partial \log \rho(\mathbf{r}_i, R) / \partial \log R$. Hence, $\alpha(\mathbf{r}_i, R)$

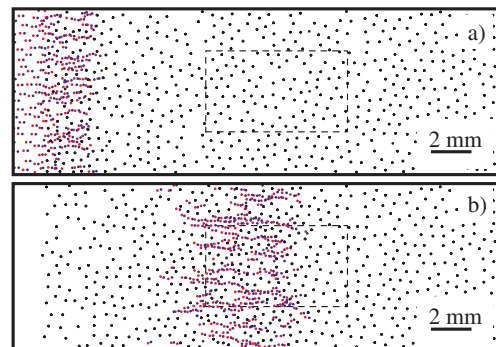


FIG. 2 (color online). Lane formation in MD simulation corresponding to the experiment shown in Fig. 1. Two snapshots illustrate (a) the initial injection stage and (b) the steady state.

characterizes the dimensionality of the local structure around point \mathbf{r}_i , in the vicinity determined by the scale R . For example, $\alpha(\mathbf{r}_i, R) \approx 1$ means that the local structure is close to a straight line at the spatial scale R , for $\alpha(\mathbf{r}_i, R) \approx 2$ it is an element of a plane, and so on. Using the Gaussian shaping function $s = e^{-(d_{ij}/R)^2}$ we derive

$$\alpha(\mathbf{r}_i, R) = \frac{2 \sum_{j=1}^N (d_{ij}/R)^2 e^{-(d_{ij}/R)^2}}{\sum_{j=1}^N e^{-(d_{ij}/R)^2}}. \quad (1)$$

Thus, the spatial scale R is an important mesoscopic measure of the local environment. In the range of relevant scales α is practically independent of R .

In order to characterize anisotropic structures, we use a “stretch metric” for the distance measure d_{ij} . On a 2D plane, the metric is determined by the aspect ratio $\epsilon (>1)$, which is the relative stretching of two principal axes, and by the unit vector $\mathbf{u} = (\cos\theta, \sin\theta)$ in the direction of stretching. Then the resulting anisotropic scaling index, $\alpha(\mathbf{r}_i, R, \theta)$, can be directly obtained from Eq. (1). We propose a “uniaxial vector characterization”: Each point \mathbf{r}_i is associated with the unit vector \mathbf{u}_i which points to a “preferred” direction of the local anisotropy. This direction is determined by the angle θ_i at which the “anisotropic contrast” $\alpha(\mathbf{r}_i, R, \theta_i + \pi/2) - \alpha(\mathbf{r}_i, R, \theta_i)$ is maximized. The directions \mathbf{u}_i and $-\mathbf{u}_i$ are equivalent, so that below they are defined for the range $-\frac{\pi}{2} \leq \theta_i \leq \frac{\pi}{2}$.

Thus, each point can now be considered as a uniaxial “molecule” (simple rod) with the direction \mathbf{u}_i . Therefore, the global laning on a 2D plane can be characterized with the second-rank tensor $Q_{\alpha\beta} = 2N^{-1} \sum_{i=1}^N \mathbf{u}_i \otimes \mathbf{u}_i - \delta_{\alpha\beta}$, analogous to that used to quantify order of the nematic phase. The direction of the global laning, $\langle \mathbf{u} \rangle$, is then the eigenvector (“nematic director”) corresponding to the largest eigenvalue of $Q_{\alpha\beta}$, which in turn is the laning order parameter, S . Obviously, $S = 1$ for a perfect alignment and $S = 0$ for a disordered phase, when individual vectors \mathbf{u}_i are uncorrelated. We finally define the global laning angle Θ via the relation $\cos\Theta = \langle \mathbf{u} \rangle \cdot \mathbf{e}_x$.

Comparison of experiments and simulations.—For the analysis of the MD simulations, we divided the volume of the simulation box in the z direction (perpendicular to the driving force in the x direction) into several slabs of 0.35 mm width, which is about the thickness of the laser sheet used to record the experimental data. Hence, the average number of particles and their average density, as well as the magnitude of their fluctuations in this “reduced” simulation data set, were similar to those in the experiment. The obtained results were analyzed and compared using the anisotropic scaling index method. The relevant range of spatial scales R was about $(2.5-4)\Delta_b$ for big particles and $(3-5)\Delta_b$ for small particles, the anisotropic aspect ratio ϵ was 5 and 7, respectively.

Discrimination of big and small particles in the experimental data was performed in terms of their velocities: During the stages of the lane evolution illustrated in Fig. 1,

small particles drift relatively fast with respect to big particles. They gradually slow down and near the center their velocities become too low, so that small and big particles are no longer distinguishable.

(i) *Small particles.*—We first identified the boundaries of the self-contained big- and small-particle clouds. Then the dynamics and structural evolution of small particles was analyzed in the overlap region (where interpenetration exists). There are two distinct phases characterizing formation and evolution of small-particle lanes [approximately correspond to Figs. 1(a) and 1(b), respectively]: An “injection stage” (I) starts at the moment when small particles first penetrate the cloud of big ones. During this phase, the number of small particles for the analysis increases from zero to the average number per slab, and therefore significant fluctuations are possible due to poor statistics. After about 1 s, there is a crossover to a “steady-state stage” (II), when the average number of small particles remains constant and the driving force can be considered constant as well. In the simulations the duration of the steady-state phase is sufficiently long (2 s, due to an appropriate choice of the simulation box). In the experiment, however, this phase is 2–3 times shorter, because the driving force and hence the particle velocities decrease as they approach the center of chamber.

The order parameter $S_s(t)$ calculated for small particles is plotted in Fig. 3(a). Although in the beginning of stage I it exhibits significant fluctuations due to poorer statistics, one can see that the formation of small-particle lanes is practically “instantaneous” at time scales corresponding to the video frame rate (50 frames/s). The magnitude of the order parameter in the experiment is almost half that of the MD simulation. We believe that this discrepancy is due to the fact that the discrimination procedure allows us to identify only 70%–80% of small particles in the experimental data, which results in an artificial “thinning” of the small-particle lanes. Random elimination of 30% small particles in the MD data decreases S_s down to the experimental level, clearly supporting this hypothesis. Figure 3(b) shows the evolution of the global laning angle $\Theta_s(t)$ for small particles, which exhibits narrow dispersion and demonstrates that the “nematic director” practically coincides with the driving vector. Note the increasing broadening and deflection of $\Theta_s(t)$ observed in the experiment at the “steady-state” stage II [coinciding with a slight decrease in $S_s(t)$], which is related to the convergence of small-particle lanes towards the chamber center as the driving force gradually decreases.

(ii) *Big particles.*—In order to diminish a possible influence of the boundary effects, we defined fixed regions in the bulk of the big-particle cloud, as indicated in Figs. 1 and 2. In terms of the size and shape, these regions approximately correspond to the “overlap regions” used for the analysis of small-particle dynamics.

The evolution of the order parameter and of the global laning angle for big particles is shown in Figs. 3(c) and 3(d), respectively. Initially, there is no anisotropy in the

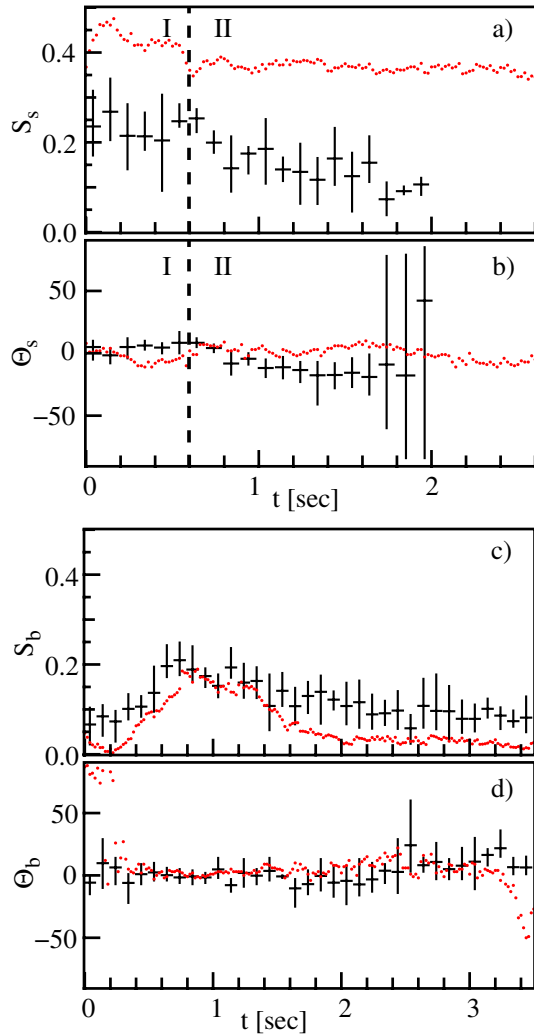


FIG. 3 (color online). Dynamics of laning. Shown are the evolution of the “nematic” order parameter for small and big particles, S_s (a) and S_b (c), respectively, as well as the corresponding global laning angle, Θ_s (b) and Θ_b (d), as obtained from the anisotropic scaling index analysis of the experiment (crosses) and MD simulation (dots). For small particles, injection stage I and steady-state stage II are indicated.

simulation, whereas in the experiment $S_b \approx 0.05$ and $\Theta_b \rightarrow 0$, due to a weak inhomogeneity in the big-particle density. Once the cloud of small particles reaches the fixed region used for the analysis, S_b starts growing and the angular distribution narrows around $\Theta_b = 0$, due to the increasing number of small particles causing the formation of big-particle strings. Then S_b reaches a maximum and starts falling off, reflecting the onset of string relaxation when small particles leave the region. The initial relaxation occurs at a characteristic time scale of ~ 1 s which is an order of magnitude shorter than the self-diffusion time scale for the big particles ($\sim m_b \nu_b \Delta_b^2 / T$). Note that after this rapid relaxation $S_b(t)$ tends to some intermediate plateau, and the laning angle $\Theta_b(t)$ keeps the anisotropy, indicating that the structural relaxation is apparently not

complete. This suggests that the ultimate equilibration might involve some metastable states.

Thus, binary complex plasmas provide us with new insights into the dynamical regime of laning—between classic undamped fluids and fully damped colloidal suspensions. By combining the experimental studies and the particle-resolved Langevin simulations, we investigated the dynamical onset of lane formation in driven complex plasmas. Furthermore, we proposed a universal order parameter for the characterization of nonequilibrium phase transitions in driven systems. The approach is based on the anisotropic scaling index analysis that is exceptionally sensitive to symmetry changes occurring in particle ensembles. The use of such a sensitive order parameter is very useful for studying the onset of nonequilibrium phase transitions. In particular, it appears well suited to resolve the principal issue of the order of such phase transitions, characterize possible universality, identify dynamical regimes of structural relaxation, etc. As immediate next steps, one can think of employing the proposed approach to investigate laning in periodically driven [20] and crystalline [21] systems.

We thank J. Dzubiella, R. Monetti, and M. Rex for helpful discussions. This work was supported by DLR/BMWi (Grant No. 50WP0203), SFB TR6, and RFBR (Grant No. 08-02-00444).

-
- [1] D. Helbing, I. J. Farkas, and T. Vicsek, *Phys. Rev. Lett.* **84**, 1240 (2000).
 - [2] J. Dzubiella, G. P. Hoffmann, and H. Löwen, *Phys. Rev. E* **65**, 021402 (2002).
 - [3] M. E. Leunissen *et al.*, *Nature (London)* **437**, 235 (2005).
 - [4] C. Reichhardt and C. J. Olson Reichhardt, *Phys. Rev. E* **74**, 011403 (2006).
 - [5] B. Schmittmann and R. K. P. Zia, *Phys. Rep.* **301**, 45 (1998).
 - [6] R. R. Netz, *Europhys. Lett.* **63**, 616 (2003).
 - [7] M. Rex and H. Löwen, *Eur. Phys. J. E* **26**, 143 (2008).
 - [8] G. E. Morfill *et al.*, *New J. Phys.* **8**, 7 (2006).
 - [9] V. E. Fortov *et al.*, *Phys. Rep.* **421**, 1 (2005).
 - [10] P. K. Shukla and A. A. Mamun, *Introduction to Dusty Plasma Physics* (IOP, Bristol, 2001).
 - [11] H. M. Thomas *et al.*, *New J. Phys.* **10**, 033036 (2008).
 - [12] Droplet formation is governed by different physical mechanisms and will therefore be considered elsewhere.
 - [13] Movies of the experiment and simulation can be viewed at <http://www.mpe.mpg.de/pke/lane-formation/>.
 - [14] V. Land and W. J. Goedheer, *New J. Phys.* **8**, 8 (2006).
 - [15] A. V. Ivlev *et al.*, *Phys. Plasmas* **12**, 092104 (2005).
 - [16] S. V. Annibaldi *et al.*, *New J. Phys.* **9**, 327 (2007).
 - [17] A. V. Ivlev *et al.*, *Phys. Rev. Lett.* **100**, 095003 (2008).
 - [18] C. R ath *et al.*, *Mon. Not. R. Astron. Soc.* **337**, 413 (2002).
 - [19] C. R ath *et al.*, *New J. Phys.* **10**, 125010 (2008).
 - [20] L. Corte *et al.*, *Nature Phys.* **4**, 420 (2008).
 - [21] Y. Roichman, V. Wong, and D. G. Grier, *Phys. Rev. E* **75**, 011407 (2007).