Active crystals on a sphere

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Two-dimensional crystals on curved manifolds exhibit nontrivial defect structures. Here we consider “active crystals” on a sphere, which are composed of self-propelled colloidal particles. Our work is based on a phase-field-crystal-type model that involves a density and a polarization field on the sphere. Depending on the strength of the self-propulsion, three different types of crystals are found: a static crystal, a self-spinning “vortex-vortex” crystal containing two vortical poles of the local velocity, and a self-translating “source-sink” crystal with a source pole where crystallization occurs and a sink pole where the active crystal melts. These different crystalline states as well as their defects are studied theoretically here and can in principle be confirmed in experiments.

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I. INTRODUCTION

It is common wisdom that a plane can be packed periodically by hexagonal crystals of spherical particles but, when the manifold is getting curved, defects emerge due to topological constraints. The most common example is a soccer ball that has a tiling of hexagons and pentagons. Indeed, similar structures are realized by Wigner-Seitz cells in particle layers covering a sphere, which is a topic that has been recently explored a lot in physics (see, e.g., Refs. [1–5]). Mathematically this topic is related to the classical problem of finding the minimal energy distribution of interacting points on a sphere [6,7]. Likewise, while a unit vector field can be uniform in flat space, it is well known that “a hedgehog cannot be combed in a continuous way” [8], which results in topological defects of an oriented vector field on a sphere.

Recently, self-propelled (i.e., “active”) colloidal particles, which dissipate energy while they move, also have been extensively studied [9–13]. At large density in the plane, these particles form crystals under nonequilibrium conditions [14–19]. Self-propelled particles can also be confined to a compact manifold like a sphere, as realized by multicellular spherical Volvox colonies [20], bacteria moving on oil drops [21] or layered in water drops [22], or active nematic vesicles [23]. This has triggered recent theoretical and simulation work on self-propelled particles on spheres and other curved manifolds considering both the particles’ individual [24–26] and collective [27–36] dynamics. The results of these studies include the observation of interesting phenomena like swarming on a sphere [27,28,31,36], vortex formation [33], membrane formation [35], aging [32], and topological sound [34].

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II. A PHASE-FIELD-CRYSTAL MODEL FOR ACTIVE CRYSTALS ON A SPHERE

In the plane, active colloidal crystals can be described by a rescaled density field \( \psi(r,t) \), which we simply call a “density field” in the following, and a polarization field \( p(r,t) \),
where \( \mathbf{r} \) and \( t \) denote position and time, respectively. While \( \psi(\mathbf{r},t) \) describes the spatial variation of the particle number density at time \( t \), \( p(\mathbf{r},t) \) describes the time-dependent local polar order of the particles. The latter quantity is relevant when modeling active-particle systems, since due to their directed self-propulsion they are, even when having a spherical shape, not rotationally symmetric. Using suitably scaled units of length, time, and energy, a minimal field-theoretical model for active crystals in the plane is given by [16,18]

\[
\partial_t \psi = \frac{\Delta \delta F}{\delta \psi} - v_0 \text{div} \mathbf{p}, \quad (1)
\]

\[
\partial_t \mathbf{p} = (\Delta - D_r) \frac{\delta F}{\delta \mathbf{p}} - v_0 \text{grad} \psi. \quad (2)
\]

This PFC model can describe crystallization in active systems on microscopic length and diffusive timescales. Here \( \partial_t = \partial/\partial t \) denotes a partial time derivative, \( \Delta \) is the ordinary Cartesian Laplace operator, \( \delta/\delta \psi \) and \( \delta/\delta \mathbf{p} \) are functional derivatives with respect to \( \psi \) and \( \mathbf{p} \), respectively, and grad and div are the ordinary Cartesian gradient and divergence operators, respectively. \( v_0 \) is an activity parameter that describes the self-propulsion speed of the active colloidal particles [16,41], and \( D_r \) is their rescaled rotational diffusion coefficient. Furthermore, \( \delta F / \delta \psi \) is a suitable free-energy functional for the considered system. The first terms on the right-hand side of Eqs. (1) and (2) describe diffusive transport. Since the density field \( \psi \) is not associated with orientational degrees of freedom of the active particles, \( \psi \) is subject to only translational diffusive transport given by \( \Delta \delta F / \delta \psi \). This diffusive transport is driven by the functional derivative \( \delta F / \delta \psi \), which would vanish for non-self-propelled (i.e., "passive") particles in thermodynamic equilibrium, a state that cannot be reached by active particles. In contrast to \( \psi \), the polarization field \( \mathbf{p} \) depends on the orientations of the active particles and shows both translational and rotational diffusive transport. Analogously to the corresponding term in Eq. (1), the translational transport in Eq. (2) is given by the term \( \Delta \delta F / \delta \mathbf{p} \), whereas the term \(-D_r \delta F / \delta \mathbf{p} \) describes the rotational transport of \( \mathbf{p} \). Besides diffusion, there is convective transport of the active particles. This is given by the remaining terms on the right-hand side of Eqs. (1) and (2) that are proportional to \( v_0 \) and directly linked to the activity of the particles.

For the free-energy functional \( F \), we use the expression \( F[\psi, \mathbf{p}] = F_\psi[\psi] + F_p[\mathbf{p}] \) with the traditional PFC functional [37,38]

\[
F_\psi[\psi] = \int_{\mathbb{R}^2} \left\{ \frac{1}{2} \epsilon \psi - (1 + \Delta^2) \psi + \frac{1}{4} \psi^4 \right\} \, d^2r, \quad (3)
\]

and the polarization-dependent contribution [16,18]

\[
F_p[\mathbf{p}] = \int_{\mathbb{R}^2} \left\{ \frac{1}{2} C_1 \| \mathbf{p} \|^2 + \frac{1}{4} C_2 \| \mathbf{p} \|^4 \right\} \, d^2r, \quad (4)
\]

where \( \| \cdot \| \) is the Euclidean norm. This is the simplest reasonable choice for \( F[\psi, \mathbf{p}] \). In principle, other functionals that provide a less general description but are better suited for a particular system could be used instead. The constant \( \epsilon \) in Eq. (3) sets the temperature [37,38]. For a sufficiently small value of \( \epsilon \) and an appropriate choice of the mean density in the system, which we assume in this work, the functional given by Eq. (3) is minimized by a density pattern that corresponds to a hexagonal crystal. In contrast to the traditional PFC model [37], there is not the wave number \( k_0 \) preferred by the system as an additional parameter in Eq. (3). We set \( k_0 = 1 \), thus the preferred lattice constant is \( 2\pi \) in the chosen dimensionless units. The coefficients \( C_1 \) and \( C_2 \) in Eq. (4) affect the local orientational ordering of the particles. While \( C_1 \) takes diffusion of the polarization field into account and should be positive, \( C_2 \) describes a higher-order contribution that can be neglected when studying active crystals [16]. The functional given by Eq. (4) is then minimized by a vanishing polarization field. Through the interplay of \( \delta F / \delta \psi \), \( \delta F / \delta \mathbf{p} \), and the convective terms in Eqs. (1) and (2), for the parameters considered in this work (see below), the minimum of \( F_p[\mathbf{p}] \) cannot be reached by the system, but \( F_p[\mathbf{p}] \) avoids diverging polarizations, which is important for the stability of the model.

To describe active crystals on a sphere \( S = R \mathbb{S}_2 \) with radius \( R \), where \( \mathbb{S}_2 \) is the three-dimensional unit sphere, we start from the PFC model for the plane given by Eqs. (1)–(4) and extend it appropriately. First, we parametrize the position \( \mathbf{r} \), which becomes a three-dimensional vector field that describes positions on the sphere \( S \), by \( \rho(\theta, \phi) = R \hat{\mathbf{u}}(\theta, \phi) \) with the unit vector \( \hat{\mathbf{u}}(\theta, \phi) = (\sin(\theta) \cos(\phi), \sin(\theta) \sin(\phi), \cos(\theta))^T \) and the spherical coordinates \( \theta \in [0, \pi] \) and \( \phi \in [0, 2\pi] \) (see Fig. 1). Next, we define the polarization field \( \mathbf{p}(\mathbf{r}, t) \) as a three-dimensional vector field that is tangential to \( S \) at \( \mathbf{r} \), i.e.,

\[
\mathbf{p}(\mathbf{r}, t) = p_\theta(\mathbf{r}, t) \hat{u}_\theta + p_\phi(\mathbf{r}, t) \hat{u}_\phi \in T_rS \quad \text{with scalar functions} \quad p_\theta(\mathbf{r}, t) \quad \text{and} \quad p_\phi(\mathbf{r}, t) \quad \text{and the tangent space} \quad T_rS \quad \text{of the sphere} \quad S \quad \text{in the point} \quad \mathbf{r}.
\]

In the free-energy functionals (3) and (4) we have to replace the integration over the plane \( \mathbb{R}^2 \) by an integration over the sphere \( S \) and the Cartesian Laplace operator \( \Delta_S \) by the surface Laplace-Beltrami operator \( \Delta_S^S = \text{div}_S \text{grad}_S \). With these replacements, \( F_\psi \) and \( F_p \) become

\[
F_\psi[\psi] = \int_S \left\{ \frac{1}{2} \epsilon \psi - (1 + \Delta_S^S) \psi + \frac{1}{4} \psi^4 \right\} \, d^2r, \quad (5)
\]

\[
F_p[\mathbf{p}] = \int_S \left\{ \frac{1}{2} C_1 \| \mathbf{p} \|^2 + \frac{1}{4} C_2 \| \mathbf{p} \|^4 \right\} \, d^2r, \quad (6)
\]
respectively. Here
\[
\text{grad}_S \psi = \frac{1}{R} \left[ (\partial_\theta \hat{\mathbf{u}}) \partial_\theta \psi + \frac{1}{\sin(\theta)} (\partial_\phi \hat{\mathbf{u}}) \partial_\phi \psi \right],
\]
\[
div_S \mathbf{p} = \frac{1}{R} \left[ \cot(\theta) p_\theta + \partial_\phi p_\theta + \partial_\phi p_\phi \right]
\]
are the gradient and divergence operators in spherical coordinates, respectively. In the dynamic equations (1) and (2), we have to restrict the dynamics to the sphere $S$. For the scalar quantity $\psi$ this has already been done in Refs. [7,42]; for the vector quantity $\mathbf{p}$ we follow the treatment of a surface polar orientation field in Ref. [43]. Therefore, we replace the Cartesian Laplace operator $\Delta$ acting on the scalar-valued $\delta F/\delta \psi$ by the surface Laplace–Beltrami operator $\Delta_S$, the Laplace operator $\Delta$ acting on the vector-valued $\delta F/\delta \mathbf{p}$ by $-\Delta_{\text{deR}}$ with the surface Laplace–de Rham operator $\Delta_{\text{deR}} = -\text{grad}_S \text{div}_S - \text{rot}_S \text{rot}_S$, where
\[
\text{rot}_S \psi = \frac{1}{R \sin(\theta)} \left[ -(\partial_\theta \hat{\mathbf{u}}) \partial_\theta \psi + (\partial_\phi \hat{\mathbf{u}}) \partial_\phi \psi \right],
\]
\[
\text{Rot}_S \mathbf{p} = \frac{1}{R} \left[ 2 \cos(\theta) p_\theta - \frac{1}{\sin(\theta)} \partial_\phi p_\theta \right] + \frac{1}{\sin(\theta)} \partial_\phi p_\phi
\]
are the surface curl operators in spherical coordinates, as well as grad and div by grad$_S$ and div$_S$, respectively. This results in the dynamic equations
\[
\partial_t \psi = \Delta_S \frac{\delta F}{\delta \psi} - v_0 \text{div}_S \mathbf{p},
\]
\[
\partial_t \mathbf{p} = - (\Delta_{\text{deR}} + D_r) \frac{\delta F}{\delta \mathbf{p}} - v_0 \text{grad}_S \psi,
\]
which describe active-particle transport tangential to $S$. Together with Eqs. (5) and (6), the dynamic equations (11) and (12) constitute a minimal field theoretical model for active crystals on a sphere. This model is an extension of the previously proposed model (1)-(4) for the plane and locally reduces to the latter in the limit $R \to \infty$. For $v_0 = 0$, Eq. (11) reduces to the traditional PFC model on a sphere, describing crystallization of passive particles on a sphere [44].

### III. Numerical Solution of the PFC Model

In order to study active crystals on a sphere, we solved the PFC equations (11) and (12) numerically. For this purpose, we expanded $\psi$ and $\mathbf{p}$ in (vector) spherical harmonics so that the partial differential equations (11) and (12) reduce to a set of ordinary differential equations for the time-dependent expansion coefficients of $\psi$ and $\mathbf{p}$. In the following, we first address this (vector) spherical harmonics expansion in more detail. Afterwards, we describe for which parameters and setups we solved the dynamic equations and how we analyzed the results.

#### A. (Vector) Spherical Harmonics Expansion

In order to discretize Eqs. (11) and (12) on the sphere, an expansion of the fields $\psi$ and $\mathbf{p}$ based on spherical harmonics is used.

We start with the scalar field $\psi$. Let $I_n = \{l, m \mid 0 \leq l \leq n, |m| \leq l\}$ be an index set of the spherical harmonics $Y^m_l$.

\[ S \to \mathbb{C} \text{ up to order } n. \] As an orthonormal set of eigenfunctions of the Laplace–Beltrami operator $\Delta_S$, with
\[
\Delta_S Y^m_l(r) = -\frac{l(l+1)}{R^2} Y^m_l(r) \quad \text{for } (l,m) \in I_n,
\]
the spherical harmonics allow us to approximate any continuous function on the sphere arbitrarily close by a finite linear combination [45,46]. Therefore, the scalar field $\psi$ can be represented as the series expansion
\[
\psi(r,t) = \sum_{(l,m) \in I_n} \psi_{lm}(t) Y^m_l(r)
\]
with the expansion coefficients $\psi_{lm}(t)$.

Considering the time dependence of $\psi$ and $\mathbf{p}$ temporarily as a parameter (so that they become functions of only $\mathbf{r}$) to simplify the notation, we now address the vector field $\mathbf{p} : S \to T S$ with the tangent bundle $T S$ of the sphere $S$. For this vector field, a different expansion than for $\psi$ is needed. Since every continuously differentiable spherical tangent vector field $\mathbf{p} : S \to T S$ can be decomposed into a curl-free field and a divergence-free field [46], there exist differentiable scalar functions $p_1,p_2 \in C^1(S)$ with
\[
\mathbf{p}(r,t) = \text{grad}_S p_1(r,t) + \text{rot}_S p_2(r,t).
\]
Therefore, a tangent vector field basis can be constructed from the gradient $\text{grad}_S$ and curl $\text{rot}_S = \hat{\mathbf{u}} \times \text{grad}_S$ of the spherical harmonics basis functions. We introduce the vector spherical harmonics
\[
Y^{(1)}_{lm}(r) = R \text{grad}_S Y^m_l(r),
\]
\[
Y^{(2)}_{lm}(r) = -\frac{r}{|r|} \times Y^{(1)}_{lm}(r)
\]
that form an orthogonal system of eigenfunctions of the Laplace–de Rham operator $\Delta_{\text{deR}}$ with
\[
\Delta_{\text{deR}} Y^{(i)}_{lm}(r) = -\frac{l(l+1)}{R^2} Y^{(i)}_{lm}(r)
\]
for $i \in \{1,2\}$ and $(l,m) \in I_n$. These vector basis functions allow a series expansion of any continuous vector field on the sphere. Hence, we can write $\mathbf{p}$ as
\[
\mathbf{p}(r,t) = \sum_{i=1}^2 \sum_{(l,m) \in I_n} \hat{p}^{(i)}_{lm}(t) Y^{(i)}_{lm}(r),
\]
where $\hat{p}^{(i)}_{lm}(t)$ are the scalar expansion coefficients of $\mathbf{p}$.

Introducing the spaces
\[
\Pi^\psi_p(S) = \left\{ \psi = \sum_{(l,m) \in I_n} \psi_{lm} Y^m_l \right\},
\]
\[
\Pi^p_p(S) = \left\{ \mathbf{p} = \sum_{(l,m) \in I_n} \hat{p}^{(i)}_{lm} Y^{(i)}_{lm} \right\}
\]
of truncated (vector) spherical harmonics expansions of $\psi$ and $\mathbf{p}$, the polar active crystal equations
\[
\partial_t \psi = \Delta \{ (1 + \Delta_S^2) \psi + v \} - v_0 \text{div}_S \mathbf{p},
\]
\[
\partial_t \mathbf{p} = - (\Delta_{\text{deR}} + D_r) (C_1 \mathbf{p} + C_2 \mathbf{q}) - v_0 \text{grad}_S \psi
\]
with the nonlinear terms \( \nu = \psi^3 \) and \( q = |p|^2 p \) can be formulated in terms of a Galerkin method \[47\]. Therefore, we expand \( \psi \) and \( \nu \) in \( \Pi^N_S \) and \( p \) and \( q \) in \( \Pi^m_S \) and require the residual of Eqs. (22) and (23) to be orthogonal to \( \Pi^N_S \times \Pi^m_S \). This leads to the Galerkin scheme

\[
\partial_t \hat{\psi}_{lm}(t) + \frac{l(l + 1)}{R^2} \left\{ \epsilon - \left[ 1 - \frac{l(l + 1)}{R^2} \right]^2 \right\} \hat{\psi}_{lm}(t) + \frac{l(l + 1)}{R^2} \hat{v}_{lm}(t) - v_0 \frac{l(l + 1)}{R} \hat{p}^{(1)}_{lm}(t) = 0, \tag{24}
\]

\[
\partial_t \hat{p}^{(i)}_{lm}(t) + \frac{l(l + 1)}{R^2} \hat{v}_{lm}(t) + D_i \left[ C_1 \hat{p}^{(1)}_{lm}(t) + C_2 \hat{q}^{(i)}_{lm}(t) \right] + v_0 \frac{\delta_{l,i}}{R} \hat{\psi}_{lm}(t) = 0 \tag{25}
\]

for \( i \in \{1,2\} \), \( (l,m) \in \mathcal{I}_n \), and \( t \in [t_0, t_{end}] \), where \( \hat{v}_{lm}(t) \) are the expansion coefficients of \( \nu \), \( \hat{q}^{(i)}_{lm}(t) \) are the expansion coefficients of \( q \), \( \delta_{ij} \) is the Kronecker delta function, and \( t_{end} \) is the length of the simulated time interval starting at \( t_0 = 0 \).

The identification of the expansion coefficients \( \hat{\psi}_{lm} \) and \( \hat{p}^{(i)}_{lm} \) for given \( \psi \) and \( p \) requires the evaluation of \( L^2 \) inner products \( \langle \psi, Y^n_i \rangle_S \) and \( \langle p, Y^{(i)}_{lm} \rangle_S \) and thus quadrature on the sphere \( S \). This is realized by evaluating \( \tilde{\psi} \) and \( \tilde{p} \) in Gaussian points \( \{ (\theta_i, \phi_i) : 1 \leq i \leq N_\theta, 1 \leq j \leq N_\phi \} \), where \( N_\theta \) and \( N_\phi \) are the numbers of grid points along the polar and azimuthal coordinates, respectively, and utilizing an appropriate quadrature rule \[48\]. For the time discretization of Eqs. (24) and (25), a second-order accurate scheme similar to that described in Ref. \[7\] is applied. Our implementation of the vector spherical harmonics is based on the toolbox SHTns \[48\].

B. Parameters and analysis

When solving the PFC model for active crystals on a sphere numerically, we considered two setups with different simulation parameters (see Table I). In the first one, the sphere has radius \( R = 20 \) and a crystal that covers the sphere consists of approximately 120 density maxima ("particles"); in the second setup, the sphere has the larger radius \( R = 80 \) leading to a crystal with approximately 1800 density maxima. The mean value of the field \( \psi \) is \( \tilde{\psi} = -0.4 \) in both cases. We used this value to allow a direct comparison of some of our results (see below) with corresponding results for the flat space presented in Ref. \[16\]. For the same reason, we chose always the parameters in Eqs. (3) and (4) as \( \epsilon = -0.98 \), \( C_1 = 0.2 \), and \( C_2 = 0 \) and the rescaled rotational diffusion coefficient as \( D_r = 0.5 \). Regarding the activity parameter \( v_0 \), values in the interval \([0,0.8]\) are considered for both setups. This interval turned out to be appropriate for observing the active crystals that constitute the scope of this work. The maximal order \( n \) at which the (vector) spherical harmonics expansions described in Sec. III A are truncated is chosen as \( n = 250 \) in the first and \( n = 500 \) in the second setup. Furthermore, the parameters \( N_\theta \) and \( N_\phi \) defining the resolution of the grid of Gaussian points on the sphere are \( N_\theta = 256 \) and \( N_\phi = 512 \) in the first setup and \( N_\theta = 512 \) and \( N_\phi = 1024 \) in the second setup. All simulations started from a slightly inhomogeneous random initial density field \( \psi(r,0) \) and a vanishing initial polarization field \( p(r,0) = 0 \). We ran the simulations from \( t_0 = 0 \) to \( t_{end} = 5000 \) with time-step size \( \tau = 0.005 \).

For the simulation parameters considered in this work, the time evolution of the density and polarization fields \( \psi(r,t) \) and \( p(r,t) \), respectively, leads to a crystalline state with local density maxima that can be interpreted as particles forming a crystal (see Fig. 2 for an example). To analyze the emerging patterns of \( \psi \) and \( p \), we introduce some appropriate quantities.

For characterizing the density field, we identify the positions \( r_i(t) \) with \( i \in \{1, \ldots, n_p(t)\} \) of the local density maxima ("particle positions"), where \( n_p(t) \) is the total number in the considered density field at time \( t \). The set \( \{ r_i(t) \} \) of the particle positions at time \( t \) is defined as

\[
\{ r : \psi(r,t) = \max \{ \psi(r',t) : r' \in \mathcal{U}(r) \} \} \tag{26}
\]

with \( \mathcal{U}(r) = B(\frac{r}{2},r) \cap \mathcal{S} \) being a neighborhood around \( r \) on the sphere \( S \), where \( B(\frac{r}{2},r) \) is an open ball of radius \( d/2 \) centered at \( r \) and \( d = 4\pi/\sqrt{3} \) is the center-to-center distance of neighboring particles in a flat hexagonal lattice with lattice constant \( 2\pi \). Since the positions \( r_i(t) \) can be time dependent, we calculate also their velocities

\[
v_i(t) = \frac{1}{\tau} [r_i(t) - r_i(t - \tau)]. \tag{27}
\]
Averaging the velocities $v_i(t)$ locally over an appropriate time interval, which is $3000 \leq t \leq 4000$ in this work, and spatial smoothing yields a continuous local velocity field $v_i(r)$ that gives insights into the particle motion at late times. The mean particle speed $v_m$ in the crystalline state is obtained as

$$v_m = \frac{1}{t_{\text{cut}} - t_c} \int_{t_c}^{t_{\text{cut}}} \frac{1}{n_p(t)} \sum_{i=1}^{n_p(t)} ||v_i(t)|| \, dt,$$  \hspace{1cm} (28)$$

where $t_c$, which we chose as $t_c = 1000$, is a sufficiently large time after which the crystalline state has formed.

To characterize the polarization field, we assign a net polarization to each density peak. For the $i$th particle, being at position $r_i(t)$, the net polarization $\mathbf{p}_i(t)$ is calculated as

$$\mathbf{p}_i(t) = \frac{\mathbf{b}_i(t)}{||\mathbf{b}_i(t)||} \cdot \mathbf{p}(r_i(t)), \hspace{1cm} (29)$$

with the shifted density field $\psi^+(r,t) = \psi(r,t) - \min_j[\psi(r_j,t)]$, where $\min_j[\psi(r_j,t)]$ is the minimal value of $\psi$ at time $t$, and the projection $\pi_{T,S}(t)$ that maps onto the tangent plane $T_{r(t)}S$. We also define a coarse-grained polar order parameter

$$\bar{\psi}_j(t) = \sum_{j \in S(r_i(t)) \backslash j \neq i} w_{ij}(t) \mathbf{p}_i(t) \cdot \mathbf{p}_j(t), \hspace{1cm} (31)$$

which measures the parallelity of the net polarization $\mathbf{p}_i(t)$ of the $i$th particle with respect to the net polarizations of the neighboring particles. In Eq. (31), $S(r_i(t)) = \{ j : ||r_j(t) - r_i(t)|| < r_{\text{cut}} \}$ is the index set of the particles with a distance smaller than the cutoff radius $r_{\text{cut}} = 2.5d$ from $r_i$ at time $t$. The weights $w_{ij}(t)$ are chosen as the inverse distance of the $i$th and $j$th particles at time $t$, i.e., $w_{ij}(t) = 1/||r_j(t) - r_i(t)||$, and $\bar{w}_j(t)$ is the normalization factor

$$\bar{w}_j(t) = \sum_{j \in S(r_i(t)) \backslash j \neq i} w_{ij}(t). \hspace{1cm} (32)$$

By spatially smoothing the discrete polar order parameters $p_i(t)$ with $i \in \{1, \ldots, n_p(t)\}$, a continuous local polar order parameter $p(r,t)$ is obtained. In addition, we introduce the global polar order parameter

$$\mathcal{P}(t) = \frac{1}{n_p(t)} \sum_{i=1}^{n_p(t)} p_i(t), \hspace{1cm} (33)$$

which is a measure for the local parallelity of the particles’ net polarizations averaged over the full sphere $S$, and the global net polarization vector

$$\mathbf{P}(t) = \sum_{i=1}^{n_p(t)} \mathbf{p}_i(t), \hspace{1cm} (34)$$

which describes the global net polarization of the active crystal.
source or sink defects as intermediate states (middle column), and leads to a change in the qualitative behavior of the system.

While the vortex-vortex crystal seems natural and can be observed directly also by classical particle simulations [28,32], the source-sink crystal, though natural for vector fields [2,28], must be interpreted in the sense that at one pole the system crystallizes, whereas at the other pole it melts. The form of Eq. (11) guarantees mass conservation, but not particle number conservation, which would be expected in a classical discrete particle model.

Classification of the observed late-time structures for different $v_0$ and $R$ into static, vortex-vortex, and source-sink patterns leads to the state diagram in Fig. 6. Except for the sharp static to vortex-vortex transition, which we were able to locate precisely, there are broad transition areas with smooth boundaries between neighboring states. These transition areas correspond to irregular intermediate states and were determined by visual inspection. In the transition area between the vortex-vortex and source-sink states, combinations of vortex and source or sink defects are found. When increasing the activity above $v_0 = 0.8$, a slow transition to a stripe (or lamellar) state is observed. This state is found also in the case of a plain system [16] and for other values of the model parameter $\epsilon$ [51,52]. For a rather high activity, we found traveling stripes. A more detailed study of this state is, however, beyond the scope of this work.

The dependency of the particles’ velocities on the activity parameter $v_0$ can be studied on the basis of the mean particle speed $v_m$. In Fig. 7 it is compared to the activity parameter. Interestingly, the function $v_m(v_0)$ seems to be independent of the sphere radius $R$. The observed behavior is qualitatively the same as in Refs. [18,41] for the flat periodic case. However, the absolute value for $v_{th}$ and the slope for $v_m(v_0)$ differ.

The net polarizations $p_i$ build a vector field with global polar order $P$. In Fig. 8 the polar order parameter $P(t)$ is visualized over a large simulation time interval for two radii $R$ of the sphere and two activity parameters $v_0$. After an initial relaxation at $0 \leq t \lesssim 1000$, the global polar order has reached its maximum and stays constant. While for $R = 80$ nearly the
value $P = 1$ is reached, a smaller sphere results in a smaller maximal global polar order. This can be explained by the larger geometrical constraints on a sphere with smaller surface area. Also a larger $v_0$ leads to a smaller maximal polar order, since for larger $v_0$ the particles are more dynamic, which hampers a parallel alignment of the net polarizations.

The different states go along also with different values of the time-averaged global net polarization $P = \|\mathbf{P}\|$ (see Fig. 9).

In the static crystal at small $v_0$, the net polarizations $\mathbf{p}_i$ and thus the global net polarization $P$ vanish. At $v_0 \approx 0.3$, where nonvanishing net polarizations are established and the density maxima start to move, $P$ suddenly grows; at $v_0 \approx 0.35$ the global net polarization reaches its next minimum, which is associated with the vortex-vortex crystal; at $v_0 \approx 0.4$ the global net polarization increases steeply until it reaches its maximum in the source-sink state. The increase of $P$ from the vortex-vortex state to the source-sink state can also be expected from the arrow fields shown in Fig. 5. For large activities $v_0 > 0.6$ the source-sink crystal gets disturbed and $P$ decreases again.

We now focus on the occurrence of translational defects (i.e., dislocations) in the crystalline states, which result from the topological constraints [53] and from the motion of the crystals [54]. Examples for such defects are already visible in Fig. 2. Translational defects in the crystal structure can be identified by the coordination number $\zeta_i$, which is equal to the number of nearest neighbors of a cell around the node $\mathbf{r}_i$ in a spherical Voronoi diagram with the particle positions $\{\mathbf{r}_j\}$ as nodes. In a defect-free hexagonal crystal one has $\zeta_i = 6$ for all $i \in \{1, \ldots, n_p\}$, but on a sphere a classical theorem of Euler states that

$$\sum_\zeta (6 - \zeta) \eta_\zeta = 6 \chi(S) = 12,$$

where $\eta_\zeta$ is the number of nodes with coordination number $\zeta$ and $\chi(S) = 2$ is the Euler characteristic of the sphere. Typically, there are no fourfold or lower-order defects in such a crystal. Then the number of fivefold defects is at least 12 and increases with the number and order of sevenfold and higher-order defects. Counting the total number of defects, i.e., the number of index values $i$ where $\zeta_i \neq 6$, shows that in our simulations between 10 and 20 percent of the particles in the static crystal ($v_0 < 0.3$) have more or less than six neighbors (see Fig. 10). When the activity forces the crystal to move and the vortex-vortex state emerges ($v_0 \approx 0.3$), the particles are able to improve their spatial arrangement and the number of defects goes down. For larger activities the number of defects increases steeply, and it becomes maximal in the source-sink state. This is consistent with the plots in Figs. 2 and 5, which also indicate that the source-sink crystal contains more defects than the other crystalline states.

To study the defects in more detail, we now look at chains formed by defects of different coordination numbers (e.g., pairs of fivefold and sevenfold defects). In Fig. 11 only the particles with coordination numbers $\zeta_i \neq 6$ are shown. There are separated pairs of defects, but also long chains of defects. This is similar to the passive case ($v_0 = 0$) for various geometries [53,55–57], but here the chains of defects are dynamic. Due to the activity of the particles, the defect chains permanently emerge, move, change size, and vanish. The numbers of defects located in these chains are statistically analyzed in Fig. 12 for various activities $v_0$. Already for small activities there are defect chains of all considered lengths.
number of defects grows with $v_0$, the number of defect pairs first increases but later decreases again, whereas the chains consisting of more than two defects increase in number.

V. CONCLUSIONS AND OUTLOOK

Using an active phase-field-crystal-type model we have studied crystals of self-propelled colloidal particles on a sphere. These “active crystals” have a hexagonal local density pattern and—due to the topological constraints prescribed by the sphere—always some defects. Three types of crystals are observed: a static crystal, a vortex-vortex crystal, and a source-sink crystal. When relaxing the particle density field from a random initial density distribution, the number of defects at low activity is 10%–20% of the total particle number and can be minimized by choosing an activity that corresponds to the vortex-vortex state. It should be possible to confirm the observed crystalline states and the results related to their defects by particle-resolved simulations and experiments.

With the numerical tools for vector-valued surface partial differential equations developed in Refs. [43,58,59], the problem can even be considered on nonspherical geometries. It would also be interesting to use PFC models to study nonspherical self-propelled particles and their active liquid-crystalline states [60,61] on a sphere [32] and other manifolds [62,63]. Appropriate PFC models could be obtained by extending the existing PFC models for liquid crystals [51,64–67] towards active particles and curved manifolds.

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APPENDIX: LINEAR STABILITY ANALYSIS

In this appendix, we carry out a linear stability analysis to get more insights into the properties of the PFC model given by Eqs. (11) and (12). For this stability analysis, we consider a homogeneous stationary state with local density $\bar{\psi}$ and vanishing local polarization. When this state is slightly perturbed, $\psi(r,t)$ and $p(r,t)$ can be written as

$$
\psi(r,t) = \bar{\psi} + \delta\psi(r,t),
$$

$$
p(r,t) = \delta p(r,t),
$$

where $\delta\psi(r,t)$ and $\delta p(r,t)$ are the small perturbations of the density and polarization fields, respectively. Inserting Eqs. (A1) and (A2) into Eqs. (11) and (12) and subsequent linearization with respect to the perturbations results
in the equations

$$\partial_t \delta \psi = \Delta_S ([3 \hat{\psi}^2 + \varepsilon + (1 + \Delta_S)^2 \delta \psi] - v_0 \text{div}_S \delta \mathbf{p}. \quad (A3)$$

$$\partial_t \delta \mathbf{p} = -C_1 (\Delta_{AR} + D_s) \delta \mathbf{p} - v_0 \text{grad}_S \delta \psi \quad (A4)$$

that describe the initial time evolution of the perturbations. Next, we expand the perturbations as

$$\delta \psi (r, t) = \sum_{(l, m) \in F_0} \delta \psi_{lm} (t) Y_{lm}^m (r), \quad (A5)$$

$$\delta \mathbf{p} (r, t) = \sum_{l = 1}^{2} \sum_{(l, m) \in F_0} \delta p_{lm} (t) Y_{lm}^m (r). \quad (A6)$$

This leads to ordinary differential equations for the time evolution of the expansion coefficients $\delta \psi_{lm} (t)$ and $\delta p_{lm} (t)$. When defining the perturbation mode vector $\delta \mathbf{X} = (\delta \psi_{lm}, \delta p_{lm})^T$, these time-evolution equations can be written as

$$\partial_t \delta \mathbf{X} = -M \delta \mathbf{X} \quad (A7)$$

with the matrix $M = (M_{ij})_{i,j=1,2,3}$, whose elements $M_{ij}$ are given by

$$M_{11} = \frac{l(l + 1)}{R^2} \left\{ 3 \hat{\psi}^2 + \varepsilon + \left[ 1 - \frac{l(l + 1)}{R^2} \right]^2 \right\}, \quad (A8)$$

$$M_{12} = -v_0 \frac{l(l + 1)}{R}, \quad (A9)$$

$$M_{21} = \frac{v_0}{R}, \quad (A10)$$

$$M_{22} = M_{33} = C \left[ \frac{l(l + 1)}{R^2} + D_t \right], \quad (A11)$$

$$M_{13} = M_{31} = M_{23} = M_{32} = 0. \quad (A12)$$

The three eigenvalues of this matrix are given by $\lambda_1 = M_{22}$ and $\lambda_{2,3} = (M_{11} + M_{22} \pm \sqrt{D})/2$ with the real-valued $D = (M_{11} - M_{22})^2 + 4M_{12}M_{21}$.

We know that the homogeneous state of the model is stable when the real parts of all eigenvalues are positive and that it is unstable when at least one eigenvalue has a negative real part. Otherwise the linear stability analysis does not permit an assessment of the stability of the homogeneous state. Taking into account that the signs of $M_{22}$ and $C$ are equal, since always $R > 0$, $D_t > 0$, and $l \geq 0$, we find the following stability criteria: The homogeneous state is

1. stable if $C_1 > 0$ and $\forall l : M_{11} + M_{22} - \Re(\sqrt{D}) > 0$ and
2. unstable if $C_1 < 0$ or $\exists l : M_{11} + M_{22} - \Re(\sqrt{D}) < 0$.

Here $\Re(\sqrt{D})$ denotes the real part of $\sqrt{D}$.

In the case of an unstable homogeneous state, small perturbations grow in time and $\psi (r, t)$ and $\mathbf{p} (r, t)$ become strongly inhomogeneous as in the crystalline states described in Sec. IV. Regarding the unstable case, we can distinguish two situations: When $D \geq 0$, the amplitudes of the inhomogeneities grow with time, but their positions are static; in contrast, for $D < 0$, traveling inhomogeneities emerge, since the eigenvalues $\lambda_2$ and $\lambda_3$ are then complex conjugates of each other (see Ref. [68] for details). This finding is highly interesting, since it is analogous to the observation of static and traveling crystals in the simulations.

To consider this finding in more detail, we evaluated the aforementioned stability criteria for parameter values that correspond to our simulations. Remarkably, in these stability criteria the (vector) spherical harmonics degree $l$ and the sphere radius $R$ always occur together as the degree parameter $l(l + 1)/R^2$. Therefore, we varied $l(l + 1)/R^2$ and $v_0$ and chose the other parameters as in Table I. This yields the stability diagram presented in Fig. 13. It shows that for all considered activities $v_0$, the homogeneous state of the PFC model given by Eqs. (11) and (12) is unstable to (vector) spherical harmonic perturbations whose degree $l$ is within a certain range of values. This is in accordance with the fact that we observed the formation of an inhomogeneous state for all parameter combinations considered in this work. The band of degrees $l$ associated with unstable modes depends on $R$ in such a way that $l(l + 1)/R^2$ is constant for corresponding modes in systems with different $R$. Hence, the values of $l$ associated with unstable modes increase with $R$. This is reasonable, since the emerging inhomogeneities are subject to the fixed lattice constant of $2\pi$ preferred by the model. Furthermore, the stability diagram shows that the emerging inhomogeneities are static for small $v_0$ and traveling for large $v_0$. This is in line with the observation of the activity threshold $v_{th}$ in Fig. 7. In the stability diagram in Fig. 13, the activity threshold is the smallest value of $v_0$ for which a positive integer $l$ associated with an unstable mode exists. Therefore, by simultaneously
solving the equations $M_{11} + M_{22} = 0$ and $D = 0$ with respect to $l(l+1)/R^2$ and $v_0$ and by choosing the solution with the smallest positive $v_0$, we calculated the coordinates $(l(l+1)/R^2, v_0) = (\alpha_{th, min}, v_{th, min}) \approx (0.63, 0.28)$ of the point in the left bottom corner of the red area in the stability diagram. The activity value $v_{th, min} \approx 0.28$ is the threshold activity $v_{th}$ for all $R$ for which the equation $(l(l+1)/R^2) = \alpha_{th, min}$ has a positive integer solution for $l$. For all other not too small $R$, the value of $v_{th}$ is slightly larger, since it corresponds to the lowest point on the border between the green and red areas in Fig. 13 for which $l$ is integer. An exception constitute only too small radii $R \lesssim 2$, for which the equation has no positive integer solution. This means that for $R \gtrsim 2$, the activity threshold $v_{th}$ has only a weak dependence on $R$. Its values vary between $v_{th, min}$ and slightly larger values, where the deviations from $v_{th, min}$ decrease for growing $R$ and asymptotically vanish for $R \to \infty$. For the radii $R = 20$ and 80 used in our simulations, the activity threshold is $v_{th} \approx 0.31$ and $v_{th} \approx 0.29$, respectively. This is in very good agreement with the threshold value $v_{th} \approx 0.3$ in Fig. 7 and its apparent independence of $R$.
